# NOTICE ASSIGNMENT CONTROL SHEET

**LOG CONTROL NUMBER: 36** 

**ANALYST** 

**ASSIGNED: MULLAN** 

**ACTION: AN** 

TITLE: OSEP - TECHNICAL ASSISTANCE AND DISSEMINATION TO IMPROVE SERVICES AND RESULTS FOR CHILDREN WITH DISABILITIES - CENTER ON **RESPONSE TO INTERVENTION (CRT)** 

**RECEIVED: 12/11/06** 

DATE ASSIGNED: 12/11/06

**DATE DUE: 12/19/06** 

ANALYST SIGNATURE: /ate Millan

COMPLETION DATE: /2/11/56

FINAL APPROVAL: Jugle Augle Augen DATE: 12-12-06

OMB NO: 84.326E

**REG PART:** 

#### Rudolph, Kim

From: Mullan, Kate

Sent: Monday, December 11, 2006 3:13 PM

To: Johnson, Janet

Cc: Sturdivant, Debra; Winston, Melanie; Rudolph, Kim; Arrington, Angela; Hyler, James

Subject: RE: Request for Clearance for OSEP-- TA Ctr on Response to Intervention (84.326E) Notice

**Inviting Applications** 

Dear Janet,

RIMS approves this draft Notice for clearance under 1820-0028, which is good until January 2009.

Kate

202-245-6536

----Original Message----

Johnson, Janet From:

Monday, December 11, 2006 9:39 AM Sent:

To: Winters, Deborah; Faulkner, Janice; Jeffrey, Scott; Long, Bonny; Wade, Maria; Cubarrubia, Archie; McFadden, Elizabeth; Higgins,

Shannan; Rosen, Jeff; McGrath, Jack; Cogdill, David; Rudolph, Kim; Harding, Natasha; Howard, Khriss Bailey, Relanda; Arrington, Angela; Hyler, James; Mullan, Kate; Winston, Melanie; Sturdivant, Debra; Duran, Grace

Cc: Subject: Request for Clearance for OSEP-- TA Ctr on Response to Intervention (84.326E) Notice Inviting Applications

Please review the attached draft Notice Inviting Applications for OSERS/OSEP--Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities--Center on Response to Intervention (CRTI) (CFDA No. 84.326E). Please send me your comments and/or concurrence by Tuesday, December 19. Thank you.

Note: This application notice includes the following priorities from the Secretary's Policy Priorities for Discretionary Grant Programs for FY 2007.

--Priority 5 -- Professional Development for Secondary School Teachers

--Priority 6 -- School Districts w/Schools in Need of Improvement, Corrective Action, or Restructuring

<< File: 326ECtr on Reponse to Intervention-Draft1.doc >> << File: 326E--Clearance Sheet.doc >>

Janet Johnson Division of Regulatory Services/OGC 202-401-6250

# REGULATIONS CLEARANCE SHEET OFFICE OF THE GENERAL COUNSEL DIVISION OF REGULATORY SERVICES (DRS)

DRAFT NO.: 1	DATE: December 11, 2006
Please review the attached document, check return with your comments, if any, or e-mai <u>Janet Johnson (Rm.6C124)</u> . Your response is	.1 your response and any comments to
Office of the Secretary*  [X] Deborah Winters, Ex. Sec., 7C100  [X] Janice Faulkner, 7W223  cc: Holly Kuzmich  Office of the Deputy Secretary*	Program Attorney/DEER [X] Jeff Rosen, 6E202  Legislation & Congressional Affairs* [X] Jack McGrath, 6W332
[X] Scott Jeffrey, 7W316  Office of Planning, Evaluation & Policy Development*  [X] Bonny Long, 5E321	Office of Management & Office of the Chief Information Officer [X] David Cogdill, 2W225 [X] Kim Rudolph, 9152 PCP
Program Contact: Debra Sturdivant S	cheduler: <u>Melanie J. Winston</u>
CHECK ONE	
[ ] Approved [ ] Approved subject to minor changes [ ] Not approved—see comments	
TYPE AND TITLE OF DOCUMENT: Notice Inviting Applications for OSERS/OSEPTechnical Assistance and Dissemination to Improve Services and Results for Children with DisabilitiesCenter on Response to Intervention (CRTI) (CFDA No. 326E).	
SIGNATURE: Lote 7/14/Jan  COMMENTS: 64.326E	DATE: 12/11/06

4000-01-U

#### DEPARTMENT OF EDUCATION

Office of Special Education and Rehabilitative Services
Overview Information

Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities--Center on Response to Intervention (CRTI)

Notice inviting applications for new awards for fiscal year (FY) 2007.

Catalog of Federal Domestic Assistance (CFDA) Number: 84.326E

#### Dates:

Applications Available: (INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER).

Deadline for Transmittal of Applications: (INSERT DATE 45
DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER).

Deadline for Intergovernmental Review: (INSERT DATE 105
DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER).

Eligible Applicants: State educational agencies (SEAs);

local educational agencies (LEAs); public charter schools
that are LEAs under State law; institutions of higher
education (IHEs); other public agencies; private nonprofit
organizations; outlying areas; freely associated States;

Indian tribes or tribal organizations; and for-profit organizations.

Estimated Available Funds: The Administration has requested \$48,903,000 for the Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities program for FY 2007, of which we intend to use an estimated \$2,830,000 for the Center on Response to Intervention competition. The actual level of funding, if any, depends on final Congressional action. However, we are inviting applications to allow enough time to complete the grant process if Congress appropriates funds for this program.

Maximum Award: We will reject any application that proposes a budget exceeding \$2,830,000 for a single budget period of 12 months. The Assistant Secretary for Special Education and Rehabilitative Services may change the maximum amount through a notice published in the Federal Register.

Number of Awards: 1.

Note: The Department is not bound by any estimates in this notice.

Project Period: Up to 60 months.

Full Text of Announcement

# I. Funding Opportunity Description

Purpose of Program: This program promotes academic achievement and improves results for children with disabilities by supporting technical assistance, model demonstration projects, dissemination of useful information, and implementation activities that are supported by scientifically-based research.

Priority: In accordance with 34 CFR 75.105(b)(2)(v), this priority is from allowable activities specified in the statute (see sections 663 and 681(d) of the Individuals with Disabilities Education Act (IDEA), 20 U.S.C. 1400 et seq.).

<u>Absolute Priority</u>: For FY 2007 this priority is an absolute priority. Under 34 CFR 75.105(c)(3), we consider only applications that meet this priority.

This priority is:

#### Center on Response to Intervention

# Background:

Response to Intervention (RTI) involves maximizing student achievement by monitoring student progress to make data-based instructional decisions for students. While RTI varies in its methodologies, a common model is based on implementation of universal, secondary, and tertiary

interventions (Kamps & Greenwood, 2003; Fuchs & Fuchs, 2006; Vaughn, 2003). Universal interventions involve providing high quality core instruction to students in regular education classrooms. Secondary interventions provide more intensive small group instruction, and tertiary interventions are even more intensive and are often provided by an interventionist or special educator.

One of the many benefits of RTI is early identification of students who experience academic or behavioral difficulties. Experts in the field have reached consensus regarding the need to identify children with academic and behavioral difficulties at an early age. Early intervention with these students can either eliminate the need for special education or at least reduce the intensity of the services required. A recent National Research Council (NRC) report (Donovan & Cross, 2002) confirmed that intervening early, specifically in reading and behavior management, is associated with reduced placement rates of students in special education programs. Specifically, it was found that placement rates in special education were lower in schools where effective reading programs and/or strong classroom management programs existed than in schools where such programs did not exist.

The report concluded that the effectiveness of early intervention was greater than the cumulative effectiveness of interventions provided to students after they had experienced years of failure.

RTI is currently being used and investigated in regular and special education classrooms and is demonstrating promise as a means for early identification of students with disabilities, particularly learning disabilities, as well as students who are at risk of academic and/or behavior disabilities (Kamps & Greenwood, 2003; Fuchs & Fuchs, 2006; Vaughn, 2003). RTI also holds promise for students from some racial and ethnic diverse backgrounds that are not progressing in the general education curriculum. Data from the NAEP indicate that students from these racial and ethnic backgrounds continue to lag behind other children in English, math, and science (Lee, 2006), which, after years of failure, often leads to over-identification of these students. Preliminary data indicate that RTI may reduce the over-identification of students by race, ethnicity, or limited-English proficiency for special education (Kamps, D., & Greenwood, C., 2005; Kamps, D., Wills, H., Greenwood, C., Thorne, S., Lazo, J., Crockett, J., McGonigle, J., & Swaggart, B., 2003).

The Individuals with Disabilities Education Improvement Act of 2004 (IDEA) allows local educational agencies (LEAs) to use up to 15 percent of their Part B funding for early intervening services to support students who struggle academically and/or behaviorally in a general education environment, but who have not been identified for special education. Additionally, in the case of LEAs that significantly over-identify students based on race or ethnicity for special education programs, the maximum 15 percent of the funding must be used to provide comprehensive coordinated early intervening services to children, particularly students in groups that were significantly over-identified. Based on amendments made by IDEA, the law now specifically states that response to scientifically based research interventions may be used to identify children with learning disabilities (LD). amendments also removed a requirement to find discrepancies between achievement and intellectual ability before identifying a child as LD. This requirement often precluded early identification of children in need of special education.

# Priority:

The Assistant Secretary establishes an absolute priority for a center to (a) provide technical assistance and disseminate information to State educational agencies (SEAs) and LEAs to implement comprehensive RTI programs in LEAs and schools; (b) identify, adapt, evaluate, and scale-up RTI models for identifying and serving children with disabilities, particularly learning disabilities; and (c) evaluate the use of these RTI models for addressing over-identification of students for special education based on race, ethnicity, or limited-English proficiency.

To be considered for funding under this priority, an applicant must demonstrate that key staff responsible for fulfilling the activities of the center have expertise in (a) scientifically based practices associated with LD identification; (b) use and/or implementation of RTI and all its components including screening, progress monitoring, data-based decision-making, procedural safeguards, and multi-tiered interventions; and (c) issues related to disproportionate representation. Expertise in these areas may be demonstrated by having refereed publications on respective topics. A team-based approach across sites may be used to capitalize on the knowledge, experience, and qualifications of various key staff that

will be responsible for providing technical assistance and disseminate information to SEAs and LEAs. It is anticipated that this Center will build on the expertise and resources of previously and currently supported OSEP investments such as the National Research Center on Learning Disabilities (NRCLD), the National Center on Student Progress Monitoring (NCSPM), the Research Institute on Progress Monitoring (RIPM), the National Center for Culturally Responsive Educational Systems (NCCRESt), the Center on Instruction (COI), the Access Center, and the IRIS Center.

To meet the requirements of this priority, the Center, at a minimum, must:

- (a) Identify, adapt, evaluate, and scale up evidence-based, school-wide RTI models that identify and serve students with disabilities, particularly LD, and students at risk of a disability, to use as a basis for providing technical assistance to SEAs and LEAs. These models also must be evaluated with regard to their impact on reducing over-identification.
- (b) Assist SEAs in developing the capacity to support local implementation of RTI models that provide comprehensive, coordinated early intervening services to

students who are experiencing academic and behavioral difficulty;

- (c) Provide technical assistance on the use of RTI to identify significant disproportionality within States or LEAs based on race or ethnicity and in using RTI to provide early intervening services to address this disproportionality;
- (d) Assist SEAs and LEAs to provide comprehensive, coordinated, RTI and early intervening services, particularly when an LEA has significantly over-identified students based on race or ethnicity as required under IDEA Section 613(f);
- (e) Communicate, coordinate, and collaborate with the NRCLD, NCSPM, COI, RIPM, Access Center, and progress monitoring model demonstration projects funded under the Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities program to summarize and proactively disseminate reports and documents on research findings and related topics to inform policy and practice related to RTI, including RTI related to early intervening services, to decrease over-identification;
- (f) Provide technical assistance and disseminate information to SEAs and LEAs on implementing research-based

practices for using RTI to improve instruction for all students and identify students with LD;

- (g) Communicate, coordinate, and collaborate with the other TA Centers to develop and disseminate information on RTI, progress monitoring, secondary and tertiary educational and behavioral interventions, and other pertinent information;
- (h) Communicate, coordinate, and collaborate with the other TA Centers to develop and disseminate information for professional development on scientifically-based models of RTI that include progress monitoring for teachers and other school staff;
- (i) Conduct national and regional meetings, in collaboration with other centers, to assist SEAs and LEAs in implementing early intervening services that include RTI models that address LD identification and overidentification;
- (j) Coordinate with the NRCLD, NCSPM, NCCRESt, and Access Center as these projects end to transfer their key responsibilities to CRTI and integrate the content from their Web sites to a CRTI Web site;
- (k) Maintain communication and collaboration with other technical assistance centers and organizations, e.g.,

Regional Comprehensive Centers, IDEA Partnership Project,
Regional Resource Centers, and Federal Resource Center,
National Association for State Directors of Special
Education, Council for Chief State School Officers, Council
for Exceptional Children, 100 Black Men, National
Association of Bilingual Education, and others as
appropriate; and

(1) Provide funding to at least two graduate or doctoral level students who have concentrations in special education, learning disabilities, or other related areas that have been approved by OSEP to assist with project related activities.

SEAs must be the primary target for technical assistance activities. The Center must support States to help them develop the capacity to provide technical assistance to LEAs. Dissemination activities, including a fully accessible Web site, must be developed and designed to address the needs of all stakeholders including parents, service providers, policy makers, and administrators at the national, State, and local levels.

The Center must also:

(1) Establish, maintain, and meet at least annually with an advisory committee consisting of representatives of

SEAs and LEAs, individuals with disabilities, parents, educators, professional organizations and advocacy groups, researchers, and other appropriate groups to review and advise on the Center's activities and plans. The committee must include membership that represents urban schools. The final advisory committee will be jointly selected by OSEP and the project;

- (2) Budget for a three-day Project Director's Meeting in Washington, DC and for a two-day trip annually to Washington, DC to attend an additional Project Director's meeting and to meet and collaborate with the OSEP Project Officer and other funded projects for purposes of cross-project collaboration and information exchange;
- (3) Budget for at least a monthly trip to attend appropriate meetings convened by the Department of Education and other Centers and organizations; and
- (4) Budget five percent of the grant amount annually to support emerging needs as identified jointly through consultation with the OSEP project officer.

# Fourth and Fifth Years of Project:

In deciding whether to continue funding the Center for the forth and fifth years, the Secretary will consider the requirements of 34 CFR 75.253 (a) and in addition -

- (a) The recommendation of a review team consisting of experts selected by the Secretary. This review team will be conducted in Washington, D.C. during the last half of the project's second year. Projects must budget for travel expenses associated with this one-day intensive review;
- (b) The timeliness and effectiveness with which all requirements of the negotiated cooperative agreement have been or are being met by the Center; and
- (c) Evidence of the degree to which the Center's activities have contributed to changed practices and improved outcomes for students with disabilities and students at risk of a disability.

Waiver of Proposed Rulemaking: Under the Administrative Procedure Act (APA) (5 U.S.C. 553), the Department generally offers interested parties the opportunity to comment on a proposed priority. However, section 681(d) of IDEA makes the public comment requirements under the APA inapplicable to the priority in this notice.

Program Authority: 20 U.S.C. 1463 and 1481(d).

Applicable Regulations: The Education Department General Administrative Regulations (EDGAR) in 34 CFR parts 74, 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99.

Note: The regulations in 34 CFR part 79 apply to all applicants except federally recognized Indian tribes.

Note: The regulations in 34 CFR part 86 apply to IHEs only.

#### References

- Donovan, S. & Cross, C. (2002). Minority students in special and gifted Education. Washington, D.C.: National Academy Press.
- Fuchs, D. & Fuchs, L.S. (2006). New Directions in Research Introduction to Response to Intervention: What, Why, and How Valid Is It? Reading Research Quarterly, 41(1).
- Kamps, D., & Greenwood, C. R. (2005). Formulating secondary-level reading interventions. Journal of Learning Disabilities, 38(6), 500-509.
- Kamps, D., Wills, H., Greenwood, C., Thorne, S., Lazo, J., Crockett, J., McGonigle, J., & Swaggart, B. (2003). Curriculum influences on growth in early reading fluency for students with academic and behavioral risks: A descriptive study. Journal of Emotional and Behavioral Disorders, 11, 211-224.
- Lee, J. (2006). Tracking Achievement Gaps and Assessing the Impact of NCLB on the Gaps: An In-depth Look into National and State Reading and Math Outcome Trends. Retrieved June 27, 2006, from The Civil Rights Project Harvard University Web site:

  http://www.civilrightsproject.harvard.edu/research/esea/nclb\_naep\_lee.pdf
- Vaughn, S. (2003). How Many Tiers Are Needed for Response to Intervention to Achieve Acceptable Prevention Outcomes. Presented at National Research Center on Learning Disabilities RTI Symposium, Kansas City, MO. Retrieved May 14, 2006, from The National Research Center on Learning Disabilities Web site: http://www.nrcld.org/symposium2003/vaughn/vaughn.pdf

#### II. Award Information

Type of Award: Cooperative agreement.

Estimated Available Funds: The Administration has requested \$48,903,000 for the Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities program for FY 2007, of which we intend to use an estimated \$2,830,000 for the Center on Response to Intervention competition. The actual level of funding, if any, depends on final Congressional action. However, we are inviting applications to allow enough time to complete the grant process if Congress appropriates funds for this program.

Maximum Award: We will reject any application that proposes a budget exceeding \$2,830,000 for a single budget period of 12 months. The Assistant Secretary for Special Education and Rehabilitative Services may change the maximum amount through a notice published in the Federal Register.

Number of Awards: 1.

<u>Note</u>: The Department is not bound by any estimates in this notice.

Project Period: Up to 60 months.

III. Eligibility Information

- 1. Eligible Applicants: SEAs; LEAs; public charter schools that are LEAs under State law; IHEs; other public agencies; private nonprofit organizations; outlying areas; freely associated States; Indian tribes or tribal organizations; and for-profit organizations.
- 2. <u>Cost Sharing or Matching</u>: This competition does not involve cost sharing or matching.
- 3. Other: General Requirements -- (a) The projects funded under this competition must make positive efforts to employ and advance in employment qualified individuals with disabilities (see section 606 of IDEA).
- (b) Applicants and grant recipients funded under this competition must involve individuals with disabilities or parents of individuals with disabilities ages birth through 26 in planning, implementing, and evaluating the project (see section 682(a)(1)(A) of IDEA).

#### IV. Application and Submission Information

1. Address to Request Application Package: Education Publications Center (ED Pubs), P.O. Box 1398, Jessup, MD 20794-1398. Telephone (toll free): 1-877-433-7827. FAX: (301) 470-1244. If you use a telecommunications device for the deaf (TDD), you may call (toll free): 1-877-576-7734.

You may also contact ED Pubs at its Web site:

www.ed.gov/pubs/edpubs.html or you may contact ED Pubs at

its e-mail address: edpubs@inet.ed.gov

If you request an application from ED Pubs, be sure to identify this competition as follows: CFDA Number 84.326E.

Individuals with disabilities may obtain a copy of the application package in an alternative format (e.g., Braille, large print, audiotape, or computer diskette) by contacting the Grants and Contracts Services Team listed under For Further Information Contact in section VII of this notice.

2. Content and Form of Application Submission:
Requirements concerning the content of an application,
together with the forms you must submit, are in the
application package for this competition.

Page Limit: The application narrative (Part III of the application) is where you, the applicant, address the selection criteria that reviewers use to evaluate your application. You must limit Part III to the equivalent of no more than 70 pages, using the following standards:

• A "page" is 8.5" x 11" (on one side only), with 1" margins (top, bottom, and sides).

- Double-space (no more than three lines per vertical inch) all text in the application narrative, including titles, headings, footnotes, quotations, references, and captions, as well as all text in charts, tables, figures, and graphs.
- Use a proportional font that is 12-point or larger, or a non-proportional font that is no smaller than 10 pitch (characters per inch).

The page limit does not apply to Part I, the cover sheet; Part II, the budget section, including the narrative budget justification; Part IV, the assurances and certifications; or the one-page abstract, the resumes, the bibliography, references, or the letters of support. However, you must include all of the application narrative in Part III.

We will reject your application if--

- You apply these standards and exceed the page limit;
   or
- You apply other standards and exceed the equivalent of the page limit.
  - 3. Submission Dates and Times:

Applications Available: (INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER).

Deadline for Transmittal of Applications: (INSERT DATE 45 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER).

Applications for grants under this competition may be submitted electronically using the Grants.gov Apply site (Grants.gov), or in paper format by mail or hand delivery. For information (including dates and times) about how to submit your application electronically, or by mail or hand delivery, please refer to section IV. 6. Other Submission Requirements in this notice.

We do not consider an application that does not comply with the deadline requirements.

Deadline for Intergovernmental Review: (INSERT DATE 105 DAYS AFTER DATE OF PUBLICATION IN THE FEDERAL REGISTER).

- 4. <u>Intergovernmental Review</u>: This program is subject to Executive Order 12372 and the regulations in 34 CFR part 79. Information about Intergovernmental Review of Federal Programs under Executive Order 12372 is in the application package for this competition.
- 5. <u>Funding Restrictions</u>: We reference regulations outlining funding restrictions in the <u>Applicable</u>
  Regulations section of this notice.

- 6. Other Submission Requirements: Applications for grants under this competition may be submitted electronically or in paper format by mail or hand delivery.
  - a. Electronic Submission of Applications.

We have been accepting applications electronically through the Department's e-Application system since FY 2000. In order to expand on those efforts and comply with the President's Management Agenda, we are continuing to participate as a partner in the new government wide Grants.gov Apply site in FY 2007. The Center on Response to Intervention-CFDA Number 84.326E is one of the programs included in this project. We request your participation in Grants.gov.

If you choose to submit your application electronically, you must use the Grants.gov Apply site at http://www.Grants.gov. Through this site, you will be able to download a copy of the application package, complete it offline, and then upload and submit your application. You may not e-mail an electronic copy of a grant application to us.

You may access the electronic grant application for the Center on Response to Intervention at: http://www.grants.gov. You must search for the

downloadable application package for this program by the CFDA number. Do not include the CFDA number's alpha suffix in your search.

Please note the following:

- Your participation in Grants.gov is voluntary.
- When you enter the Grants.gov site, you will find information about submitting an application electronically through the site, as well as the hours of operation.
- Applications received by Grants.gov are time and date stamped. Your application must be fully uploaded and submitted, and must be date/time stamped by the Grants.gov system no later than 4:30 p.m., Washington, DC time, on the application deadline date. Except as otherwise noted in this section, we will not consider your application if it is date/time stamped by the Grants.gov system later than 4:30 p.m., Washington, DC time, on the application deadline date. When we retrieve your application from Grants.gov, we will notify you if we are rejecting your application because it was date/time stamped by the Grants.gov system after 4:30 p.m., Washington, DC time, on the application deadline date.
- The amount of time it can take to upload an application will vary depending on a variety of factors

including the size of the application and the speed of your Internet connection. Therefore, we strongly recommend that you do not wait until the application deadline date to begin the application process through Grants.gov.

• You should review and follow the Education

Submission Procedures for submitting an application through

Grants.gov that are included in the application package for

this competition to ensure that you submit your application

in a timely manner to the Grants.gov system. You can also

find the Education Submission Procedures pertaining to

Grants.gov at http://e-

Grants.ed.gov/help/GrantsgovSubmissionProcedures.pdf

• To submit your application via Grants.gov, you must complete all of the steps in the Grants.gov registration process (see

http://www.grants.gov/applicants/get\_registered.jsp).

These steps include (1) registering your organization, (2) registering yourself as an Authorized Organization

Representative (AOR), and (3) getting authorized as an AOR by your organization. Details on these steps are outlined in the Grants.gov 3-Step Registration Guide (see http://www.grants.gov/section910/Grants.govRegistrationBrochure.pdf). You also must provide on your application the

same D-U-N-S Number used with this registration. Please note that the registration process may take five or more business days to complete, and you must have completed all registration steps to allow you to successfully submit an application via Grants.gov.

- You will not receive additional point value because you submit your application in electronic format, nor will we penalize you if you submit your application in paper format.
- You may submit all documents electronically, including all information typically included on the Application for Federal Assistance (SF 424), Budget Information--Non-Construction Programs (ED 524), and all necessary assurances and certifications. Please note that two of these forms--the SF 424 and the Department of Education Supplemental Information for SF 424--have replaced the ED 424 (Application for Federal Education Assistance). If you choose to submit your application electronically, you must attach any narrative sections of your application as files in a .DOC (document), .RTF (rich text), or .PDF (Portable Document) format. If you upload a file type other than the three file types specified above

or submit a password protected file, we will not review that material.

- Your electronic application must comply with any page limit requirements described in this notice.
- After you electronically submit your application, you will receive an automatic acknowledgment from Grants.gov that contains a Grants.gov tracking number. The Department will retrieve your application from Grants.gov and send you a second confirmation by e-mail that will include a PR/Award number (an ED-specified identifying number unique to your application).
- We may request that you provide us original signatures on forms at a later date.

# Application Deadline Date Extension in Case of System Unavailability

If you are prevented from electronically submitting your application on the application deadline date because of technical problems with the Grants.gov system, we will grant you an extension until 4:30 p.m., Washington, DC time, the following business day to enable you to transmit your application electronically, or by hand delivery. You also may mail your application by following the mailing instructions as described elsewhere in this notice. If you

submit an application after 4:30 p.m., Washington, DC time, on the deadline date, please contact the person listed elsewhere in this notice under For Further Information

Contact, and provide an explanation of the technical problem you experienced with Grants.gov, along with the Grants.gov Support Desk Case Number (if available). We will accept your application if we can confirm that a technical problem occurred with the Grants.gov system and that that problem affected your ability to submit your application by 4:30 p.m., Washington, DC time, on the application deadline date. The Department will contact you after a determination is made on whether your application will be accepted.

Note: Extensions referred to in this section apply only to the unavailability of or technical problems with the Grants.gov system. We will not grant you an extension if you failed to fully register to submit your application to Grants.gov before the deadline date and time or if the technical problem you experienced is unrelated to the Grants.gov system.

# b. Submission of Paper Applications by Mail.

If you submit your application in paper format by mail (through the U.S. Postal Service or a commercial carrier),

you must mail the original and two copies of your application, on or before the application deadline date, to the Department at the applicable following address:

#### By mail through the U.S. Postal Service:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.326E) 400 Maryland Avenue, SW. Washington, DC 20202-4260

or

#### By mail through a commercial carrier:

U.S. Department of Education Application Control Center - Stop 4260 Attention: (CFDA Number 84.326E) 7100 Old Landover Road Landover, MD 20785-1506

Regardless of which address you use, you must show proof of mailing consisting of one of the following:

- (1) A legibly dated U.S. Postal Service postmark,
- (2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service,
- (3) A dated shipping label, invoice, or receipt from a commercial carrier, or
- (4) Any other proof of mailing acceptable to the Secretary of the U.S. Department of Education.

If you mail your application through the U.S. Postal Service, we do not accept either of the following as proof of mailing:

- (1) A private metered postmark, or
- (2) A mail receipt that is not dated by the U.S. Postal Service.

If your application is postmarked after the application deadline date, we will not consider your application.

<u>Note</u>: The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should check with your local post office.

c. Submission of Paper Applications by Hand Delivery.

If you submit your application in paper format by hand delivery, you (or a courier service) must deliver the original and two copies of your application by hand, on or before the application deadline date, to the Department at the following address:

U.S. Department of Education Application Control Center Attention: (CFDA Number 84.326E) 550 12th Street, SW. Room 7041, Potomac Center Plaza Washington, DC 20202-4260 The Application Control Center accepts hand deliveries daily between 8:00 a.m. and 4:30 p.m., Washington, DC time, except Saturdays, Sundays and Federal holidays.

Note for Mail or Hand Delivery of Paper Applications: If you mail or hand deliver your application to the Department:

- (1) You must indicate on the envelope and if not provided by the Department in Item 11 of SF 424 the CFDA number and suffix letter, if any of the competition under which you are submitting your application.
- (2) The Application Control Center will mail a grant application receipt acknowledgment to you. If you do not receive the grant application receipt acknowledgment within 15 business days from the application deadline date, you should call the U.S. Department of Education Application Control Center at (202) 245-6288.

#### V. Application Review Information

- 1. <u>Selection Criteria</u>: The selection criteria for this competition are from 34 CFR 75.210 and are listed in the application package.
- 2. Treating A Priority As Two Separate Competitions:
  In the past, there have been problems in finding peer
  reviewers without conflicts of interest for competitions in

which many entities throughout the country submit The Standing Panel requirements under IDEA applications. also have placed additional constraints on the availability of reviewers. Therefore, the Department has determined that, for some discretionary competitions, applications may be separated into two or more groups and ranked and selected for funding within the specific group. procedure will ensure the availability of a much larger group of reviewers without conflicts of interest. will increase the quality, independence and fairness of the review process and permit panel members to review applications under discretionary competitions for which they have also submitted applications. However, if the Department decides to select for funding an equal number of applications in each group, this may result in different cut-off points for fundable applications in each group.

#### VI. Award Administration Information

1. Award Notices: If your application is successful, we notify your U.S. Representative and U.S. Senators and send you a Grant Award Notification (GAN). We may also notify you informally.

If your application is not evaluated or not selected for funding, we notify you.

2. Administrative and National Policy Requirements:
We identify administrative and national policy requirements
in the application package and reference these and other
requirements in the <u>Applicable Regulations</u> section of this
notice.

We reference the regulations outlining the terms and conditions of an award in the <u>Applicable Regulations</u> section of this notice and include these and other specific conditions in the GAN. The GAN also incorporates your approved application as part of your binding commitments under the grant.

- 3. Reporting: At the end of your project period, you must submit a final performance report, including financial information, as directed by the Secretary. If you receive a multi-year award, you must submit an annual performance report that provides the most current performance and financial expenditure information as specified by the Secretary in 34 CFR 75.118.
- 4. <u>Performance Measures</u>: Under the Government

  Performance and Results Act of 1993 (GPRA), the Department

  has developed measures that will yield information on

  various aspects of the Technical Assistance and

  Dissemination to Improve Services and Results for Children

with Disabilities program. These measures focus on: the extent to which projects provide high quality products and services, the relevance of project products and services to educational and early intervention policy and practice, and the use of products and services to improve educational and early intervention policy and practice.

Grantees will be required to provide information related to these measures.

Grantees also will be required to report information on their projects' performance in annual reports to the Department (34 CFR 75.590).

#### VII. Agency Contact

For Further Information Contact: Grace Zamora Duran, Ed.D.

U.S. Department of Education, 400 Maryland Avenue, SW.,

room 4088, Potomac Center Plaza, Washington, DC 20202-2550.

Telephone: (202) 245-7328.

If you use a telecommunications device for the deaf (TDD), you may call the Federal Relay Service (FRS) at 1-800-877-8339.

Individuals with disabilities may obtain this document in an alternative format (e.g., Braille, large print, audiotape, or computer diskette) on request by contacting the following office: The Grants and Contracts Services

Team, U.S. Department of Education, 400 Maryland Avenue, SW., Potomac Center Plaza, Washington, DC 20202-2550.

Telephone: (202) 245-7363.

VIII. Other Information

Electronic Access to This Document: You may view this document, as well as all other documents of this Department published in the <a href="Federal Register">Federal Register</a>, in text or Adobe . Portable Document Format (PDF) on the Internet at the following site: <a href="https://www.ed.gov/news/fedregister">www.ed.gov/news/fedregister</a>

To use PDF you must have Adobe Acrobat Reader, which is available free at this site. If you have questions about using PDF, call the U.S. Government Printing Office (GPO), toll free, at 1-888-293-6498; or in the Washington, DC, area at (202) 512-1530.

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Dated:

John H. Hager,
Assistant Secretary for Special
Education and Rehabilitative
Services.